

# Agency Information Technology Plan

**Agency**  
 State Auditor's Office

**Mission**  
 The Montana Commissioner of Securities and Insurance (CSI), also known as the Montana State Auditor, is the state government regulator of Montana's insurance and securities industries and advocate for the citizens of Montana. The Office of the Montana State Auditor, Commissioner of Securities and Insurance (CSI) is a criminal justice agency that protects Montana's consumers by ensuring fairness, transparency and access for Montanans in two of Montana's largest industries — securities and insurance. CSI Information Technology department actively pursues to deliver the best proven technology and innovative solutions available to meet the business objectives of the agency and the State of Montana. Develop custom interfaces, provide stable infrastructure, and leverage emerging technologies to advance the business objectives of CSI and the State of Montana.

	Agency Goal/Objective	State Strategic Plan Goal/Objective Reference
<b>Goal One:</b>	Resources and funding will be allocated to the IT projects that contribute the greatest net value and benefit to CSI stakeholders.	Goal 1 - Objective 1, 2, 3
<b>Objective One:</b>	Maintain and enhance the IT infrastructure to support the operations of the Agency	Goal 2 - Objective 1, 2, 3
<b>Objective Two:</b>	Streamline updates and procedures to accommodate more efficient practices.	Goal 2, Objective 2,3
<b>Objective Three:</b>	Investment in IT can never stop	Goal 4 - Objective 1 Goal 5 - Objective 1, 2, 4, 5
<b>Objective Four:</b>		
<b>Objective Five:</b>		
<input checked="" type="checkbox"/> Add Goal		

	Agency Goal/Objective	State Strategic Plan Goal/Objective Reference
<b>Goal Two:</b>	Where and when possible automate business processes to make the Agency more efficient and cost effective.	Goal 2 - Objective 2, 5 Goal 4 - Objective 1, 2
<b>Objective One:</b>	Data driven decision making ensures and encourages information to be continually added and updated.	Goal 1 - Objective 1, 5 Goal 2 - Objective 1, 2, 4
<b>Objective Two:</b>	Provide balanced management of information and technology	Goal 1 - Objective 5 Goal 2 - Objective 5
<b>Objective Three:</b>	Be flexible and responsive	Goal 1 - Object 1, 4, 5 Goal 2 - Objective 5
<b>Objective Four:</b>		
<b>Objective Five:</b>		
<input checked="" type="checkbox"/> Add Goal		

	Agency Goal/Objective	State Strategic Plan Goal/Objective Reference
<b>Goal Three:</b>	Secure Computing - Improve Data Security	Goal 3 - Objectives 1, 2, 3, 4 and 5
<b>Objective One:</b>	Continue to implement NIST security controls that ensure the security, privacy, availability, and integrity of data and systems.	Goal 3 - Objectives 1, 2, 3, 4, 5
<b>Objective Two:</b>	Strengthening Security and Privacy	Goal 3 - Objectives 2 and 3
<b>Objective Three:</b>	Employee Development	Goal 5 - Objective 1, 2, 4
<b>Objective Four:</b>	Maintain and enhance the IT infrastructure to support the operations of the Agency	Goal 4 - Objective 2, 3 Goal 5 - Objective 2
<b>Objective Five:</b>		
<input type="checkbox"/> Add Goal		

**Link to Agency Goals and Objectives**  
[https://mtgov.sharepoint.com/:b:/r/sites/ent-sitsd/bienrpt/Agency%20Information/State%20Auditor%20-%20Office%20of%20Securities%20and%20Insurance/2020/SAO\\_2020\\_Goals.pdf?csf=1&web=1&e=4f3Kml](https://mtgov.sharepoint.com/:b:/r/sites/ent-sitsd/bienrpt/Agency%20Information/State%20Auditor%20-%20Office%20of%20Securities%20and%20Insurance/2020/SAO_2020_Goals.pdf?csf=1&web=1&e=4f3Kml)

## Information Technology Resources and Capabilities

### Information Technology Resources

Summary of information technology resources:

Bureau / Unit Name	Number of FTE	Primary Function / Mission	
<input type="text" value="Information System Manager"/>	<input type="text" value="1"/>	Focus is on actively coordinating the overall direction of IT department within the agency, carries out supervisory responsibilities, coordinate, and implements short and long-range technology strategic plans, manages IT related projects and coordinates overall direction of the IT department within the Agency. Assures integrity of agency data and confidential information through information security and data access management.	
<input checked="" type="checkbox"/> Add Resource	<input type="text" value="Senior Database Administrator"/>	<input type="text" value="1"/>	Develop application and enterprise software solutions, provide solution and designs. Support the performance, integrity and security of the production environment by maintaining per defined and documented maintenance plans and business needs.
<input checked="" type="checkbox"/> Add Resource	<input type="text" value="Information Systems Specialist"/>	<input type="text" value="1"/>	Provides general technical assistance in support of applications, hardware, software, and network connectivity
<input checked="" type="checkbox"/> Add Resource	<input type="text" value="Network Security Administrator"/>	<input type="text" value="1"/>	Administer and maintain agency network architecture and environment to ensure accurate, efficient processing and storage of CSI data; Enforces technical securities and procedures on the network as required to ensure the confidentiality, integrity, and accessibility of CSI technical resources are available 24X7.
<input type="checkbox"/> Add Resource			

**Information Technology Capabilities**

**Summary of Systems:**

I have reviewed all of my agency's systems in Assurance CM and certify that it is accurate.

**Summary of Hardware:**

**Total Number of Appliances** (Devices designed for internet access and specialized business use, but without capabilities of a fully-equipped server. Can be physical or virtual. Include all chassis, tape systems, firewalls, switches, KVM's, and USB anywhere devices.)

**Total Number of Physical Servers** (Include physical servers used for virtualization. Do not include equipment hosted by SITSD.)

**Total Number of Virtualized Servers** (Do not include equipment hosted by SITSD.)

**Total Usable Storage Space** (SANs and NASs. Do not include storage hosted by SITSD.)

Device Type (Including, but not limited to desktops, laptops, mobile devices, printers, cameras, etc.)	Quantity	Estimated Replacement Value
<input type="text" value="Desktops/Monitors"/>	<input type="text" value="75"/>	<input type="text" value="150,000"/>
<input type="text" value="Laptops"/>	<input type="text" value="20"/>	<input type="text" value="30,000"/>
<input type="text" value="Mobile Devices (Tablets, Cell phones, etc)"/>	<input type="text" value="18"/>	<input type="text" value="20,000"/>
<input type="text" value="Printers"/>	<input type="text" value="9"/>	<input type="text" value="10,000"/>
<input type="text" value="Leased Printers"/>	<input type="text" value="5"/>	<input type="text" value="9,000"/>
<input type="text" value="Scanners"/>	<input type="text" value="10"/>	<input type="text" value="10,000"/>
<input type="text" value="Cameras"/>	<input type="text" value="41"/>	<input type="text" value="40,000"/>
<input type="text" value="Big Screen TV's"/>	<input type="text" value="2"/>	<input type="text" value="5,000"/>

**Information Technology Projects**

**Project Name**

CSI does not foresee any major IT initiatives in the agency's future. However, this could change if federal lawmakers, agencies and/or associations make changes which affect our Montana regulatory IT environment.

**Division**

Project / Program purpose and objectives

State Strategic Plan Goal/Objective Reference

Estimated start date

Estimated delivery date

Estimated cost

HB 10 Request

select yes or no

Funding Source 1

Funding Source 1 Amount

Funding Source 2

Funding Source 2 Amount

Funding Source 3

Funding Source 3 Amount

Annual costs upon completion

Status of the project as of March 31, even numbered years. Indicate % completed and status of funds expended.

Add Project

### Agency Contact Information

#### Agency Director / Administrator

Name

Commissioner of Security and Insurance, Montana State Auditor

Phone Number

406-444-2040

Email Address

Contact us on our website: <https://csimt.gov/contact>

Mailing Address

840 Helena Ave, Helena MT 59601

#### Information Technology Contact (CIO / IT Manager)

Name

Glynis Gibson

Phone Number

406-444-3517

Email Address

gegibson@mt.gov

Mailing Address

840 Helena Ave. Helena, MT 59601

#### Information Security Manager

Name

Phone Number

Email Address

Mailing Address